

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE	PAGE OF PAGES
2. AMENDMENT/MODIFICATION NO. 0001		3. EFFECTIVE DATE 20-Oct-2012	4. REQUISITION/PURCHASE REQ. NO.	
6. ISSUED BY AFGHANISTAN DISTRICT SOUTH (AES) US ARMY CORPS OF ENGINEERS APO AE 09355		CODE W5J9LE	7. ADMINISTERED BY (If other than item 6) See Item 6	
8. NAME AND ADDRESS OF CONTRACTOR (No., Street, County, State and Zip Code)			X	9A. AMENDMENT OF SOLICITATION NO. W5J9LE-13-R-0002
			X	9B. DATED (SEE ITEM 11) 10-Oct-2012
				10A. MOD. OF CONTRACT/ORDER NO.
				10B. DATED (SEE ITEM 13)
CODE	FACILITY CODE			
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS				
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offer <input type="checkbox"/> is extended, <input checked="" type="checkbox"/> is not extended. Offer must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning <u>1</u> copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.				
12. ACCOUNTING AND APPROPRIATION DATA (If required)				
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.				
A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.				
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B).				
C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:				
D. OTHER (Specify type of modification and authority)				
E. IMPORTANT: Contractor <input type="checkbox"/> is not, <input type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.				
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) The purpose of this amendment is to: Revise and Reissue Appendix B, C, and D Issue section 01 45 00.10 QCS, and add video found at http://www.aed.usace.army.mil Update Point of Contact for proposal submissions The POC for this action is hereby changed to David.W.Stevens@usace.army.mil Proposal Due date for Phase I submissions remains 3 November 2012 4:00 P.M. Kandahar Time Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.				
15A. NAME AND TITLE OF SIGNER (Type or print)			16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	
			TEL:	EMAIL:
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA		16C. DATE SIGNED
_____ (Signature of person authorized to sign)		BY _____ (Signature of Contracting Officer)		20-Oct-2012

SECTION SF 30 BLOCK 14 CONTINUATION PAGE

SUMMARY OF CHANGES

SECTION 00100 - BIDDING SCHEDULE/INSTRUCTIONS TO BIDDERS

The following have been modified:

INSTRUCTION TO OFFERORS
BONDING INSTRUCTIONS

Bid Bonds are required for this project. Bonds shall be submitted via hard copy only and received no later than the time established for the due date of Phase II proposals but may be received earlier as a separate package from the proposal. All Bonds shall be received by David Stevens. Bonds may be sent by mail or hand carried to:

**David Stevens
USACE-AES
APO-AE 09355**

Please see clause 52.228-1

**BONDING REQUIREMENTS
BID GUARANTEE**

Offerors are required to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids. The amount of the bid guarantee shall be 20% of the total bid amount but shall not exceed \$3,000,000. See FAR Clause 52.228-1 BID GUARANTEE (SEP 1996) for specific requirements. Original signatures are required on the Standard Form 24 (bid bond).

This solicitation requires the offeror to furnish a bid guarantee in accordance with FAR 52.228-1 (which is included in this solicitation). The bid guarantee must be supported by appropriate security, as described in FAR 52.228-1(b). If a bidder elects to furnish a bid guarantee supported by a corporate surety, that corporate surety must appear on Treasury Department Circular 570. If the corporate surety does not appear on Treasury Department Circular 570, the bid may be rejected in accordance with FAR 52.228-1(a).

Bidders are advised that the circumstances in which the Contracting Officer may waive the solicitation's bid guarantee requirements are both extremely limited and discretionary (see FAR 28.101-4(c)) and therefore, an offeror should assume that a non-compliant bid guarantee will result in rejection of its bid."

Since the bid guarantee amount is known, Contractors are HIGHLY encouraged not to wait on obtaining their bid guarantee. Contractors need to ensure they factor in adequate delivery time to Afghanistan when sending items via mail or FedEx/DHL/UPS.

PERFORMANCE AND PAYMENT BOND REQUIREMENTS

The Contractor awarded this contract is required to provide performance and payment bonds. See FAR Clause 52.228-15 PERFORMANCE AND PAYMENT BONDS – CONSTRUCTION (OCT 2010). Please note that the penal amount of performance and payment bonds at the time of contract award shall be 30 percent of the original contract price.

SECTION 00113

SECTION 00113

TWO PHASE DESIGN-BUILD

PHASE I

PROPOSAL SUBMISSION REQUIREMENTS AND INSTRUCTIONS

1. PHASE I PROCESS

- (a) In Phase I of this solicitation, the Government will first review un-priced qualification submittals to determine a competitive range for those Contractors that will proceed to Phase II of this solicitation. The Best Value Decision by the Source Selection Authority (SSA) using the Trade-Off Process (See Federal Acquisition Regulation (FAR) 15.101-1) will be used for Phase I. After evaluating Phase I proposals, the number of offeror's that will be selected to submit Phase II proposals will be in the range of 2 to 5 of the most highly qualified offeror's.
- (b) The most highly qualified Offerors that are selected will be requested to submit proposals using Phase II evaluation factors which will be provided by amendment to this solicitation.

2. REQUIREMENT FOR Qualification Submittal

- a. Each Offeror must submit their responses to the qualification factors in the first Phase I submittal which is due no later than 03 November 2012, 4:00 PM Kandahar time.
- b. Offers must include Representation and Certifications required by the Solicitation in the Phase I submittal.
- c. Do not include any price information in the qualification Phase I submittal.
- d. In order to effectively and equitably evaluate all offers, the Contracting Officer must receive sufficient detailed information that clearly responds to the factors listed in this section for Phase I only.
- e. The **Qualification submittal shall** consist of all information and material submitted or provided for evaluation in response to the qualification Phase I submittal (noncost) evaluation factors specified in this Section. The purpose of the qualification submittal is to assess the quality of each offer (i.e., the quality of the proposed product or service), to determine the capability of each offeror, and to determine the ability of each offeror to perform the perspective contract successfully.

f. Offerors submitting proposals for this project should limit submission to data essential for evaluation of proposals so that a minimum of time and monies will have been expended in preparing the information required herein. However, in order to be effectively and equitably evaluated, the proposals must include information sufficiently detailed to clearly describe the offeror's experience, technical approach and management capabilities to successfully complete the project. Proposals shall follow the order sequence set forth in the RFP. Information provided out of sequence will not be evaluated and may result in the offeror's disqualification from award.

3. GENERAL INSTRUCTIONS

Electronic (softcopy) proposals (submitted as attachments to emails) shall be submitted to the following email address: David.W.Stevens@usace.army.mil with a courtesy copy to tas.contracting@usace.army.mil. USACE will not provide confirmation of receipt. If Offeror wants confirmation, it should obtain confirmation through its email system.

All offers must be received by the closing date and time identified in Block #13 of the SF 1442 (unless amended) in order to be considered for award.

Hand-carried offers will not be accepted.

All offers must be received by the closing date and time identified in Block #13 of the SF 1442 (unless amended) in order to be considered for award.

The Government will not make assumptions concerning an offeror's intent, capabilities, or experiences. Clear identification of proposal details shall be the offeror's sole responsibility. The Government may reject incomplete proposals after initial evaluation without further consideration. Therefore, the proposal must meet the following basic requirements at the time of submission:

The Proposal shall be typed, submitted in English, and easy to read.

- a. The qualification submittal shall be limited to no more than **thirty-five (35) pages** in length. Each page of the qualification submittal shall be numbered sequentially. Use only 8 ½ by 11 inch paper or A4 paper submissions, unless another paper size is specifically authorized elsewhere in this section for a particular submission. Do not use fold-outs (e.g., 11" x 14" or 11" x 17" sheets) unless specifically authorized in this section for a particular submission. A standard, 11-point minimum font size applies. Arial or Times New Roman fonts are required. Tables and illustrations may use a reduced font size of not less than 8-point and may be landscape-oriented. The use of hyperlinks to electronic materials in the proposal is prohibited.

- b. Necessary charts and graphics may be larger than 8.5" x 11", but no larger than 11" x 17", and will count as one page. Charts and graphics should only contain the minimal text required to interpret the graphic (such as a concise caption or a map legend). Inclusion of excessive text on a graphic in an attempt to circumvent the page limitation will cause the graphic to be counted as more than one page.
- c. All page margins must be at least 1-inch wide, but may include headers and footers. All pages shall be numbered and correlate to proposal index. For submissions with page limitations, the pages will be counted as follows: One side of the paper is one page; information on both the back and front of one sheet of paper will be counted as two pages. Where authorized, fold-out pages (11" x 14" or 11" x 17") will count as one page. Pages furnished for organizational purposes only, such as a "Table of Contents" or divider tabs, are not included in the page limitation. Offerors will be discouraged from submitting elaborate corporate marketing information, formatting, and special reproduction techniques.
- d. Contractors are cautioned against submitting conditional proposals, or submitting proposals that contain reservations. The offeror should instead direct all questions and/or concerns to the Contracting Officer, in writing. Questions and/or comments received by the Contract Specialist later than five (5) calendar days prior to the proposal due date shall not be entertained.
- e. If additional information is provided, it shall be with regard to the solicitation requirements only.
- f. Proposal Expenses and Pre-Contract Costs: The solicitation does not commit the Government to pay any costs incurred in the preparation and submission of a proposal or for any other costs incurred by any firm submitting a proposal in response to these solicitations.
- g. Proposal revisions shall be submitted as page replacements with revised text readily identifiable, e.g., bold face print or underlining. The source of the revision, e.g., Error, Omission, or Clarification, or amendment shall also be annotated for each revision. Proposal replacement pages shall be numbered, shall be clearly marked "REVISED" shall show the date of the revision, shall be submitted in appropriate number of copies e.g., if two (2) copies of the original page were required, then two (2) copies of the revised page will also be required, and shall be a different color than the original page they are to replace.
- h. "Confidential" projects cannot be submitted to demonstrate capability unless all of the information required for evaluation purposes as specified herein can nonetheless be provided to the Government as part of the offeror's technical proposal. Offerors that include in their proposals information that they do not want to disclose to the public for any purpose, or used by the Government except for evaluation purposes, must be clearly marked in accordance with the instructions at

FAR 52.215-1, "Instructions to Offeror-Competitive Acquisition," paragraph (3), "Restrictions on disclosure and use of data."

- i. The Corps of Engineers recognizes that submittals by the Offeror may Contain confidential or proprietary information; however, the Government reserves the right to make inquiries into the information disclosed. The submission of false or misleading information may be grounds for disqualification of the proposal.

4. SPECIFIC INSTRUCTIONS FOR THE REPRESENTATIONS AND CERTIFICATIONS AND BOND CAPACITY LETTER

- a. Number of sets: submit one (1) original only.
- b. This section does not count in the page count of the technical proposal.
- c. Format and contents shall be labeled as shown below:

Tab 1: REPRESENTATIONS AND QUALIFICATIONS

Tabs 2-4: Phase I Qualification Submittal

5. SPECIFIC INSTRUCTIONS FOR PHASE I EVALUATION APPROACH

The Phase I evaluation will be made based on the overall best value proposal that is determined to be the most beneficial to the Government, with appropriate consideration given to the three (3) evaluation factors: Architect-Engineer Design Experience (Factor 1), Construction Experience (Factor 2), Design Build Experience (Factor 3).

The proposal will be evaluated to determine whether the offeror's methods and approach have adequately and completely considered, defined, and satisfied the requirements specified in the solicitation.

The rating for the above Factors will be expressed as an adjectival assessment of Outstanding, Good, Acceptable, Marginal, and Unacceptable as follows:

ADJECTIVE RATING	DEFINITION AND CRITERIA
Outstanding	Proposal meets requirements and indicates an exceptional approach and understanding of the requirements. Strengths far outweigh any weaknesses. Risk of unsuccessful performance is very low.
Good	Proposal meets requirements and indicates a thorough approach and understanding of the requirements. Proposal contains strengths which outweigh any weaknesses. Risk of unsuccessful performance is low.

ADJECTIVE RATING	DEFINITION AND CRITERIA
Acceptable	Proposal meets requirements and indicates an adequate approach and understanding of the requirements. Strengths and weaknesses are offsetting or will have little or no impact on contract performance. Risk of unsuccessful performance is no worse than moderate.
Marginal	Proposal does not clearly meet requirements and has not demonstrated an adequate approach and understanding of the requirements. The proposal has one or more weaknesses which are not offset by strengths. Risk of unsuccessful performance is high.
Unacceptable	Proposal does not meet requirements and contains one or more deficiencies. Proposal is unawardable.

Technical Definitions:

The technical rating reflects the degree to which the proposed approach meets or does not meet the minimum performance or capability requirements through an assessment of the strengths, weaknesses, deficiencies, and risks of a proposal.

- a. Strength is an aspect of the Offeror's proposal that has merit or exceeds specified performance or capability requirements in a way that will be advantageous to the Government during contract performance.
- b. Weakness means a flaw in the proposal that increases the risk of unsuccessful contract performance. See FAR 15.001.
- c. Significant Weakness in the proposal is a flaw that appreciably increases the risk of unsuccessful contract performance. See FAR 15.001.
- d. Deficiency is a material failure of a proposal to meet a Government requirement or a combination of significant weaknesses in a proposal that increases the risk of unsuccessful contract performance to an unacceptable level. See FAR 15.001.
- e. Risk is the potential for unsuccessful contract performance. The consideration of risk assesses the degree to which an Offeror's proposed approach to achieving the technical factor or subfactor may involve risk of disruption of schedule, increased cost or degradation of performance, the need for increased Government oversight, and the likelihood of unsuccessful contract performance.

EVALUATION FACTORS AND WEIGHTING

Factors 1 and 2 are of equal importance. Factor 3 is more important than Factors 1 and 2.

Factor Number	Description	Relative Importance
Factor 1	Architect Engineering Design Experience	Equal to 2
Factor 2	Construction Experience	Equal to 1
Factor 3	Design Build Experience	More Important than 1 or 2

6. PHASE I SHALL NOT INCLUDE ANY COST INFORMATION AND SHALL NOT EXCEED 35 PAGES.

7. CONTENTS OF THE PHASE I QUALIFICATIONS

The Qualifications Cover Sheet as required by provision FAR 52.215-1(c) (i) (v) in section 00100 of this solicitation, titled “Instructions to Offerors-Competitive Acquisition”.

Tab 2: FACTOR 1 – ARCHITECT ENGINEERING DESIGN EXPERIENCE

Tab 3: FACTOR 2 – CONSTRUCTION EXPERIENCE

Tab 4: FACTOR 3 – DESIGN BUILD EXPERIENCE

8. QUALIFICATION SUBMISSION REQUIREMENTS

FACTOR 1 – ARCHITECT ENGINEERING DESIGN EXPERIENCE (Phase I, Tab 2)

Offeror’s shall demonstrate recent relevant architect engineering design experience. Specify level of experience for the Project Manager, Architect, Civil Engineer, Electrical Engineer and Mechanical Engineer with the following requirements: at least two (2) but not more than five (5) projects on hydraulic structures type facilities; all projects must be valued at not less than \$10 Million per project; and all projects submitted must have been completed within the past ten (10) years from date in SF1442 Block 13A.

The Government will evaluate the Offerors experience information provided in FACTOR No. 1 as follows:

- The number of years of experience the firm has on the design of hydraulic structures type facilities.

- The number of years of experience for the personnel identified above on the design of hydraulic structures type facilities.

The ten (10) year time limit is defined as the past ten (10) years from date in SF1442 Block 13A.

EVALUATION CRITERIA

The Government will evaluate the strengths, weaknesses, significant weakness, deficiencies, and risk in the submission as defined above in technical definitions. Based on the submission provided, the Government will evaluate the Offeror's overall architect engineering design experience and its capability to execute an awarded contract.

FACTOR 2 – CONSTRUCTION EXPERIENCE (Phase 1, Tab 3)

Offeror's shall demonstrate recent relevant construction experience. Specify level of construction experience for the Construction Project Manager, Quality Control Representative and Construction Supervisor with the following requirements:

at least two (2) but not more than five (5) projects on hydraulic structures type facilities; all projects must be valued at not less than \$10 Million per project; and all projects submitted must have been completed within the past ten(10) years from date in SF1442 Block 13A.

The Government will evaluate the Offerors experience information provided in FACTOR No. 2 as follows:

- The number of years of experience the firm has on the construction of hydraulic structures type facilities.
- The number of years of experience for the personnel identified above on the construction of hydraulic structures type facilities.

EVALUATION CRITERIA

The Government will evaluate the strengths, weaknesses, significant weakness, deficiencies, and risk in the submission as defined above in technical definitions. Based on the submission provided, the Government will evaluate the Offeror's overall construction experience and its capability to execute an awarded contract.

FACTOR 3 – DESIGN BUILD EXPERIENCE (Phase 1, Tab 4)

Offeror's shall demonstrate recent relevant design build experience. Specify level of design build experience for the Project Manager, Architect, Civil Engineer, Electrical Engineer, Mechanical Engineer, Construction Project Manager, Quality Control Representative and Construction Supervisor with the following requirements:

at least two (2) but not more than five (5) projects on hydraulic structures type facilities; all projects must be valued at not less than \$ 10 Million per project; and all projects submitted must have been completed within the past ten (10) years from date in SF1442 Block 13A.

The Government will evaluate the Offerors experience information provided in FACTOR No. 3 as follows:

- The number of years of experience the firm has on the design build projects of hydraulic structures type facilities.
- The number of years of experience for the personnel identified above on the design build projects of hydraulic structures type facilities.

EVALUATION CRITERIA

The Government will evaluate the strengths, weaknesses, significant weakness, deficiencies, and risk in the submission as defined above in technical definitions. Based on the submission provided, the Government will evaluate the Offeror's overall design build experience and its capability to execute an awarded contract.

PHASE II

1. PROPOSAL SUBMISSION REQUIREMENTS AND INSTRUCTIONS

Once evaluations for Phase I qualifications are completed by the Government, only offerors who meet the competitive range will be eligible to submit proposals for phase II. An amendment will be sent to the eligible offerors whom meet the competitive range and will be given a time and date for the phase II submission which will be approximately 5 days after Phase I is submitted to the government. The Phase II evaluation will be made based on the overall best value proposal that is determined to be the most beneficial to the Government, with appropriate consideration given to the three (3) evaluation factors: Past Performance (Factor 1), Organization & Technical Approach (Factor 2) and Proposed Schedule (Factor 3).

Offerors are reminded that the maximum number of offeror's that will be selected to submit Phase II proposals will be in the range of 1 to 5 of the most highly qualified offeror's.

2. PHASE II PROPOSAL SUBMISSION REQUIREMENTS

The intent of Phase II Request for Proposal is to select one Contractor for the design and construction of a Kajaki Dam Irrigation Tunnel Works in Kajaki District, Helmand Province, Afghanistan.

The Phase II evaluation will be made based on the overall best value proposal that is determined to be the most beneficial to the Government, with appropriate consideration given to the three (3) evaluation factors: Past Performance (Factor 1), Organization & Technical Approach (Factor 2) and Proposed Schedule (Factor 3).

The proposal will be evaluated to determine whether the offeror's methods and approach have adequately and completely considered, defined, and satisfied the requirements specified in the solicitation.

The rating for the above Factors except for past performance will be expressed as an adjectival assessment of Outstanding, Good, Acceptable, Marginal, and Unacceptable as follows:

ADJECTIVE RATING	DEFINITION AND CRITERIA
Outstanding	Proposal meets requirements and indicates an exceptional approach and understanding of the requirements. Strengths far outweigh any weaknesses. Risk of unsuccessful performance is very low.
Good	Proposal meets requirements and indicates a thorough approach and understanding of the requirements. Proposal contains strengths which outweigh any weaknesses. Risk of unsuccessful performance is low.
Acceptable	Proposal meets requirements and indicates an adequate approach and understanding of the requirements. Strengths and weaknesses are offsetting or will have little or no impact on contract performance. Risk of unsuccessful performance is no worse than moderate.
Marginal	Proposal does not clearly meet requirements and has not demonstrated an adequate approach and understanding of the requirements. The proposal has one or more weaknesses which are not offset by strengths. Risk of unsuccessful performance is high.
Unacceptable	Proposal does not meet requirements and contains one or more deficiencies. Proposal is unawardable.

ADJECTIVAL RATING OF PAST PERFORMANCE – RELEVANCY AND CONFIDENCE

There are two aspects to the past performance evaluation. The first aspect is to evaluate the Offeror's past performance to determine its relevancy. Relevancy, as it pertains to past performance information, is a measure of the extent of similarity between the service/support effort, complexity, dollar value, contract type, and subcontract/teaming or other comparable attributes of past performance examples and the source solicitation requirements; and a measure of the likelihood that the past performance is an indicator of future performance. The past performance relevancy ratings are as follows:

RELEVANCY RATING	DEFINITION
Very Relevant	Present/past performance effort involved essentially the same scope and magnitude of effort and complexities this solicitation requires.
Relevant	Present/past performance effort involved similar scope and magnitude of effort and complexities this solicitation requires.
Somewhat Relevant	Present/past performance effort involved some of the scope and magnitude of effort and complexities this solicitation requires.
Not Relevant	Present/past performance effort involved little or none of the scope and magnitude of effort and complexities this solicitation requires.

The second aspect of past performance evaluation is to determine how well the contractor performed on the contracts. This is done through a performance confidence assessment, which is defined as an evaluation of the likelihood (or Government's confidence) that the Offeror will successfully perform the solicitation's requirements; the evaluation is based upon past performance information. In conducting a performance confidence assessment, each Offeror shall be assigned one of the ratings below:

CONFIDENCE ASSESSMENT	DEFINITION
Substantial Confidence	Based on the Offeror's recent/relevant performance record, the Government has a high expectation that the Offeror will successfully perform the required effort.
Satisfactory Confidence	Based on the Offeror's recent/relevant performance record, the Government has a reasonable expectation that the Offeror will successfully perform the required effort.
Limited Confidence	Based on the Offeror's recent/relevant performance record, the Government has a low expectation that the Offeror will successfully perform the required effort.
No Confidence	Based on the Offeror's recent/relevant performance record, the Government has no expectation that the Offeror will be able to successfully perform the required effort.
Unknown Confidence (Neutral)	No recent/relevant performance record is available or the Offeror's performance record is so sparse that no meaningful confidence assessment rating can be reasonably assigned.

Technical Definitions:

The technical rating reflects the degree to which the proposed approach meets or does not meet the minimum performance or capability requirements through an assessment of the strengths, weaknesses, deficiencies, and risks of a proposal.

- f. Strength is an aspect of the Offeror's proposal that has merit or exceeds specified performance or capability requirements in a way that will be advantageous to the Government during contract performance.
- g. Weakness means a flaw in the proposal that increases the risk of unsuccessful contract performance. See FAR 15.001.
- h. Significant Weakness in the proposal is a flaw that appreciably increases the risk of unsuccessful contract performance. See FAR 15.001.
- i. Deficiency is a material failure of a proposal to meet a Government requirement or a combination of significant weaknesses in a proposal that increases the risk of unsuccessful contract performance to an unacceptable level. See FAR 15.001.
- j. Risk is the potential for unsuccessful contract performance. The consideration of risk assesses the degree to which an Offeror's proposed approach to achieving the technical factor or subfactor may involve risk of disruption of schedule, increased cost or degradation of performance, the need for increased Government oversight, and the likelihood of unsuccessful contract performance.

EVALUATION FACTORS AND WEIGHTING

Factors 2 and 3 are of equal importance. Factor 1 is more important than Factors 2 and 3. Price is less important than factors 1, 2 and 3. Price is less important than technical factors however if the technical ratings between proposals become closer price becomes more important.

Factor Number	Description	Relative Importance
Factor 1	Past Performance	More Important than 2 or 3
Factor 2	Organization & Technical Approach	Equal to 3
Factor 3	Proposal Schedule	Equal to 2
Factor 4	Price	Less important than 1, 2 or 3

- a. Proposal shall be organized, concise, and submitted in the volumes and in the order indicated below. Volumes shall be clearly identified and tabbed. Each factor and sub-factor shall be described in a separate tabbed section.
- b. Proposals must be sent in two (2) separate volumes. Each volume shall be contained within a separate binder. Each volume shall be identified by the solicitation number, volume number, and name, address, and telephone number of the prime Offeror on the cover. Each volume shall contain a Table of Contents and include at the bottom left side of each page the volume and page number.
- c. Offerors shall verify that the information for all forms submitted are current, correct and complete including names of the points of contact, email address, fax number, and telephone number.
- d. Proposal Schedule, Volume I, *Technical Proposal*, shall be completed in full and shall consist of Past Performance (Factor 1), Organization & Technical Approach (Factor 2), Proposed Schedule (Factor 3).
- e. Proposal Schedule, Volume II, *Price Proposal*.
- f. Offerors shall submit a signed Offer Standard Form 1442 in Volume II for this solicitation, including verification of all amendments received.
- g. Offerors will be discouraged from submitting elaborate corporate marketing information, formatting, and special reproduction techniques.
- h. If additional information is provided, it shall be with regard to the solicitation requirements only.
- i. Failing to submit attachments may result in rejection of the offer without further evaluation. Therefore, offerors are urged to follow instructions and contact the Contracting Officer via email with questions regarding the instructions.
- j. Contractors are cautioned against deviating from the proposals requirements, submitting conditional proposals, or submitting proposals that contain reservations. The offeror should instead direct all questions and/or concerns to the Contract Specialist, in writing. Questions and/or comments received by the Contract Specialist later than five (5) calendar days prior to the proposal due date shall not be entertained.
- k. Proposal Expenses and Pre-Contract Costs: The solicitation does not commit the Government to pay any costs incurred in the preparation and submission of a proposal or for any other costs incurred by any firm submitting a proposal in response to these solicitations.

l. Volume I shall be limited to no more than **forty (40) pages** in length. Each page of Volume I shall be numbered sequentially. Use only 8 ½ by 11 inch paper or A4 paper submissions, unless another paper size is specifically authorized elsewhere in this section for a particular submission. Do not use fold-outs (e.g., 11" x 14" or 11" x 17" sheets) unless specifically authorized in this section for a particular submission. A standard, 11-point minimum font size applies. Arial or Times New Roman fonts are required. Tables and illustrations may use a reduced font size of not less than 8-point and may be landscape-oriented. The use of hyperlinks to electronic materials in the proposal is prohibited.

m. Necessary charts and graphics may be larger than 8.5" x 11", but no larger than 11" x 17", and will count as one page. Charts and graphics should only contain the minimal text required to interpret the graphic (such as a concise caption or a map legend). Inclusion of excessive text on a graphic in an attempt to circumvent the page limitation will cause the graphic to be counted as more than one page.

n. All page margins must be at least 1-inch wide, but may include headers and footers. All pages shall be numbered and correlate to proposal index. For submissions with page limitations, the pages will be counted as follows: One side of the paper is one page; information on both the back and front of one sheet of paper will be counted as two pages. Where authorized, fold-out pages (11" x 14" or 11" x 17") will count as one page. Pages furnished for organizational purposes only, such as a "Table of Contents" or divider tabs, are not included in the page limitation.

o. The submission shall be clearly indexed and logically assembled. Each volume shall be clearly identified and shall begin at the top of a page. All pages of each volume shall be appropriately numbered and identified by the complete company name, date and solicitation number in the header and/or footer. A Table of Contents should be created.

p. All information shall be confined to the appropriate file. The Offeror shall confine submissions to essential matters, sufficient to define the proposal and provide adequate basis for evaluation. Offerors are responsible for including sufficient details, in a concise manner, to permit a complete and accurate evaluation of each proposal. Proprietary information shall be clearly marked as such.

2. Joint Ventures

a. When proposing as a joint venture, all members of the joint venture shall sign the SF 1442 and the financial surety instrument unless a written agreement by the joint venture is furnished with the proposal designating one firm with the authority to bind the other member(s) of the joint venture. The agreement must be signed by persons duly authorized to bind the joint venture members to the agreement. In addition, a copy of the joint venture agreement shall be submitted with the proposal. Failure to comply with the foregoing requirements may eliminate the proposal from further consideration.

b. If submitting a proposal as a joint venture, the experience and past performance of each of the Joint Venture Partners can be submitted for the joint venture entity. Joint ventures shall submit the following additional documentation regarding their business entities:

- 1) A copy of their joint venture agreement in English.
- 2) A detailed statement outlining the following in terms of percentages, where appropriate.
 - i. The relationship of the joint venture parties in terms of business ownership, capital contribution, and profit distribution or loss sharing.
 - ii. The management structure of the joint venture in terms of who will conduct, direct, supervise and control the project and have custody and control of the assets of the joint venture and perform the duties necessary to complete the work.
 - iii. The structure of the joint venture and decision-ranking responsibilities of the joint venture parties in terms of who will control the manner and method of performance of the work.
 - iv. Identification of the key personnel having authority to legally bind the joint venture to subcontracts and state who will provide or contract for the labor and materials for the joint venture.
 - v. Identification of the key personnel having authority to legally bind the joint venture to subcontracts and state who will provide or contract for the labor and materials for the joint venture.
 - vi. Identification of party maintaining the joint venture bank accounts for the payment of all expenses and the deposits of all receipts, keep the books and records, and pay applicable taxes for the joint venture.
 - vii. Identification of party furnishing the facilities, such as office supplies and telephone service.
 - viii. Identification of party having overall control of the joint venture and documentation that member of the joint venture will remain jointly and severally liable for all aspects of the contract, including, but not limited to performance of the work and payment of the sub contractors.

c. Other sections of the proposal shall identify, where appropriate, whether key personnel are employees of the individual joint venture entities and identify the entity, or hired as employees of the joint venture.

d. If one of the joint venture parties possesses relevant experience and/or past performance, the experience and/or past performance of that firm will be considered as the experience and/or past performance of the joint venture

e. The Government reserves the right to review the actual joint venture agreement and any other agreements executed by the joint venture, which are relevant to the Offeror's proposal and/or solicitation, award and performance of the contract.

f. A complete and legally binding document with all the information required under this section titled "Joint Ventures" shall be included.

g. JV Agreements shall clearly indicate the percentages of the JV participants, in particular the percent of the controlling party, a clear delineation of responsibilities and authorities between the JV parties, and provide that each party is jointly and severally liable for the performance of all contract requirements.

3. Certifications and Representations: Each Offeror shall complete (including signatures) the solicitation sections indicated below using the file (without modification to the file) provided with the solicitation. An authorized official of the firm shall sign the SF 1442 and all certifications requiring original signature. An Acrobat PDF file shall be created to capture the signatures for submission.

- Standard Form 1442 (SF 1442), Solicitation, Offer and Award
- Contract Administration Data
- Representations, Certifications and Other Statements of offerors

JCCS REGISTRATION

The primary system for host nation vendor vetting for CENTCOM is the Joint Contingency Contracting System (JCCS). Effective 1 October 2011 offerors for *all* USACE solicitations shall be JCCS registered. https://www.jccs.gov/OLVRCAC/bta_jccs_login.aspx.

The Offeror must provide proof of registration in the JCCS and must provide its Company JCCS ID number. The Contract Specialist will search the JCCS database using the JCCS Company ID number supplied by the vendor to determine eligibility. Vendors not rejected are eligible for award and a statement to this effect shall be included in the Contracting Officer's Responsibility Determination. Failure to submit this information may result in your proposal being considered non-responsive.

4. Price Evaluation

Price proposals will be evaluated independent of the technical/quality evaluation. Price proposals shall not be evaluated by the SSEB. Price is less important than technical factors however if the technical ratings between proposals become closer price becomes more important.

5. Technical/Quality Evaluation

The SSEB will evaluate and rate proposals against the solicitation requirements.

The SSEB technical and quality evaluation report shall document the findings in detail for each factor, and explain the rationale for elimination from further competition /evaluation. The SSEB Chairman will prepare the written report of the board. The report will convey the evaluation findings to the SSA. The report must substantiate in language understandable to non-technical personnel that the evaluation has been conducted fairly and in accordance with the evaluation method and criteria specified in the solicitation. Supporting documentation must include individual evaluation worksheets and the consensus evaluation worksheets (if necessary) for each proposal. This documentation will be included in the contract file.

6. Discussions

Although **not** anticipated, in accordance with FAR 15.306(d), discussions with each offeror may be held. After completion of discussions with each offeror in the competitive range and in accordance with FAR 15.307(b), all offerors in the competitive range will be allowed a minimum of five (5) calendar days to submit Final Proposal Revisions.

2.1 SITE VISIT

The site visit is not a requirement, but due to the complexity of the project it is highly recommended. Details of the site visit will be provided at a later date. Offerors may participate in the site visit by making their own travel arrangements and at their own risk. The POC for project site visit is Nader Noori at nader.noori@usace.army.mil at 540 722 6191. Site visits may be arranged during normal duty hours 0800-1700. See Site Visit Clause 52.236-27.

2.2 BIDDER INQUIRIES/QUESTIONS

All questions and inquiries shall be submitted by email to: David.W.Stevens@usace.army.mil with a courtesy copy to tas.contracting@usace.army.mil.

Electronic (as email) inquiries to this solicitation must be received by this office not later than **seven (7) calendar days** prior to the due date of proposals. Questions received less than seven days prior to the due date of proposals will not be entertained.

Faxed Proposals, Modifications Thereto, or Cancellations Will Not Be Accepted. However, offers may be withdrawn in writing by letter or e-mail. Any written notice to withdraw an offer sent to this office must be received in the office designated for receipt of offers not later than the exact date and time set for receipt of proposals.

Telephone Inquiries Will Not Be Accepted. Oral explanations or instruction are not binding. Any information given to an Offeror which impacts the solicitation and/or offer will be given in the form of a written amendment to the solicitation.

2.3 EVALUATION FACTORS FOR AWARD

Proposals will be evaluated (in English) in accordance with the evaluation factors. The Government intends to evaluate and award this contract without discussions with offerors (except clarifications as described in FAR 15.306(a)). Therefore, offerors are reminded to include their best technical and price terms in their initial offer and not to assume that they will have an opportunity to participate in discussions or be asked to submit a revised offer. The Government reserves the right to conduct discussions, if the Contracting Officer later determines them to be necessary.

Volume I – Technical

Factor 1 Past Performance,
Factor 2 Organization & Technical Approach,
Factor 3 Proposed Schedule

Volume II – Price

Tab A Standard Form 1442;
Tab B Section 00010, Proposal Bid Schedule; and
Tab C Representations and Certifications (Section 00600).
Tab D Joint Venture Agreement (As Applicable)

FACTOR 1 – Past Performance

SUBMISSION REQUIREMENTS:

Past performance refers to the quality of recent project experience from the owner's perspective. Each of the prime construction contractor and key subcontractors shall complete and provide a Past Performance Questionnaire on a minimum of two (2) projects which meet the criteria described in Factors 1 through 3 above. If any firm has multiple functions or divisions, limit the project examples to those performed by the division, unit or team member submitting the offer. Projects cited on the Past Performance Questionnaire shall be currently well underway (fully designed and at least 50% construction progress completed) or construction substantially completed within ten (10) years preceding the date of this solicitation.

The Government may contact and interview the points of contact and reserves the right to Interview other individuals if the point of contact is not available. The Offeror may briefly provide information on problems encountered on identified projects and any corrective action.

Past Performance will be proposed on Key Sub-Contractors (hydraulic structures related mechanical and electrical installation).

The past performance of individuals will not be credited under this factor.

Offerors should follow-up with clients/references to ensure timely submittal of questionnaires. If the client requests, questionnaires may be submitted directly to the Government's point of contact, **David Stevens, via email at David.W.Stevens@usace.army.mil** prior to the proposal closing date. **Offerors shall not incorporate by reference into their proposal PPQs previously submitted for other RFPs.** However, this does not preclude the Government from utilizing previously submitted PPQ information in the past performance evaluation.

EVALUATION CRITERIA

The Government will evaluate the Offeror's past performance using the sources available to it including but not limited to: the example projects identified by the Offeror submitted on the Past Performance Questionnaires received from references, CCASS or any other Government data base. Offerors may be provided an opportunity to address any negative past performance information about which the Offeror has not previously had an opportunity to respond if such information is determined to present an impact on the rating to be assessed.

The Government will consider past performance of the prime construction contractor. If a firm has multiple functions or divisions, the Government will only evaluate the past performance of the unit or division submitting the offer. The Government may also consider the past performance information submitted on any other team member. However, the consideration and weight given to past performance information concerning other than the prime contractor will be based on the extent of involvement of the team member in the project and the adequacy of the proposal in identifying and addressing such arrangements and roles.

The Government will consider relevant projects that are currently well underway (fully designed and at least 50% construction progress completed), or construction substantially completed within ten (10) years preceding the date of this solicitation. Projects should include the criteria in stated in Factors 1 to 3 above. Projects do not have to include all of the criteria; however, projects that meet all of the criteria may be considered more relevant and may be more highly rated. The Government will consider the currency and relevance of the information, source of the information, context of the data, and general trends in contractor performance. The Government places higher value on projects for which successful performance can be confirmed through sources such as telephone interviews with the contacts identified in the proposal, Offeror furnished references or personal knowledge. The Government places a higher value on projects which provided particularly difficult or unique challenges and the innovative methods the Offeror used to resolve problems successfully.

If the Government does not obtain past performance information for the projects identified by the Offeror and cannot establish a past performance record for the Offeror through other sources, past performance will be rated neither favorably nor unfavorably.

The Government will evaluate past performance of the Key Subcontractors for hydraulic structures related electrical and mechanical installation, using the same criteria as in the evaluation, as applicable to their role on this project.

The Government will evaluate past performance based on the elements listed below:

Quality of Construction and Safety Record: The Government will evaluate all information available with respect to the quality of the actual construction undertaken and the standards of workmanship exhibited by the Offeror. The Offeror's safety record and overall safety program will also be evaluated.

Timeliness of Performance: The Government will evaluate all information available with respect to the completion of projects within the scheduled completion times.

Customer Satisfaction: The Government will evaluate all information available with respect to customer satisfaction, cooperation with customers, and interaction on past projects.

Subcontractor Management: The Government will evaluate all information available with respect to effective management of subcontractors on past projects.

FACTOR 2 - ORGANIZATION AND TECHNICAL APPROACH

SUBMISSION REQUIREMENTS:

This information considers the Offeror's intended technical approach to construction of the type facilities specified in the RFP. Limit the submission to ten pages or less, clearly but concisely describing the technical approach to execution of the contract. At a minimum the narrative should respond to the questions, or address the topics, outlined below:

Does the Offeror's team demonstrate an understanding of the fundamental design-build problem?

Does the Offeror demonstrate a suitable understanding of the process to enable it to adequately address and anticipate the risks associated with design-build processes?

Identify and explain the roles and responsibilities of team members that will perform major or critical aspects of construction phases. Also, include a general description of the

work the Offeror will self-perform to be compliant with the requirements of Federal Acquisition Regulation (FAR) clause 52.236-1, Performance of Work by Contractor.

How does the Offeror plan to coordinate working space(s) with another general contractor on an adjacent site?

Briefly describe the Offeror's Quality Control Program.

How will the construction contractor coordinate construction issues throughout the construction period with the Government and other on-site contractors on a congested campus?

How does the Offeror integrate construction subcontractors into the quality control process?

EVALUATION CRITERIA

The Government will evaluate the strengths, weaknesses, significant weakness, deficiencies, and risk in the submission as defined above in technical definitions. Based on the submission provided, the Government will evaluate the Offeror's overall understanding of the design-build process and its capability to execute an awarded contract.

Offerors are cautioned that the Technical Approach Narrative shall not exceed ten (10) pages and that the Government's evaluators will review and evaluate only the information contained in the first ten pages.

FACTOR 3 - PROPOSED SCHEDULE

SUBMISSION REQUIREMENTS:

Submit a summary level schedule for a design build contract based on the solicitation documents. Schedules or diagrams may be provided separately in a size that is easily read, but shall be bound and clearly labeled as TAB A (Volume 1).

Give attention to the following features:

Show the overall design and construction phase for the construction of hydraulic structures related works.

Constraints: Offeror must demonstrate the capability and flexibility to plan and schedule the complete project to meet the proposed contract completion period. Clearly identify any constraints on the schedules presented (e.g., labor or material availability, permits,

weather, coordination with existing on-site contractor(s), etc.). Indicate the anticipated overall critical path on the schedule. Special attention is required to clearly demonstrate the process of heavy equipment deliveries from their point of origin to the project site by specifying method of transportation, method of loading and unloading and the time required to ship the equipment from the equipment's origin to a designated destination in Afghanistan and then to the project site. The contractor need to pay close attention to the project duration time and understand and address the time required for all air/ ground movements and all custom and permit requirements for the shipments.

Proposed Contract Duration. This duration will become the contractually binding completion period. The Government will evaluate the contract duration, as proposed by the Offeror, not to exceed the maximum allowed duration of 600 calendar days. In assessing the reasonableness of the proposed contract duration, the Government may take into account how well the proposed summary schedule supports the proposed duration, as well as use other information, such as but not limited to independent judgment concerning logic, constraints and typical construction durations. .

EVALUATION CRITERIA

The Government will evaluate the strengths, weaknesses, significant weakness, deficiencies, and risk in the submission as defined above in technical definitions.. Based on the submission provided, the Government will evaluate the Offeror's overall understanding of schedule sequencing, durations, construction packaging plan(s), coordination, constraints, etc.

Offerors with efficient and thoughtfully developed schedules will be given additional consideration.

Volume II – Price

- a. Tab A, Standard Form 1442: Submission Requirements

The offeror shall submit Standard Form 1442. This form is included in Section 00010 of this SOLICITATION. This submittal must be in a separate electronic file or a separate sealed envelope (if submitting hardcopy proposals).

- b. Tab A, Standard Form 1442: Evaluation Criteria

Standard Form 1442 is to be completed, to include Block #19 Acknowledgement of Amendments (if applicable), and duly executed with an original signature by an official authorized to bind the company in accordance with FAR 4.102.

- c. Tab B, section 00010, Proposal Bid Schedule: Submission Requirements

The offeror shall complete and submit in its entirety Section 00010, Proposal Bid Schedule. This form is included in Section 00010 of the SOLICITATION.

d. Tab B, section 00010, Proposal Bid Schedule: Evaluation Criteria

The price (Proposal Bid Schedule) may be evaluated for reasonableness, completeness, and unbalanced pricing through the use of cost and or price analysis. Price proposals shall not be evaluated by the SSEB.

e. Tab C, Representations and Certifications: Submission Requirements

Each offeror shall complete all representations and certifications in Section 00600.

f. Tab D, Joint Venture Agreement (if applicable), Submission Requirements

If the Offeror is a joint venture (JV), include a copy of the JV Agreement. If a JV Agreement has not yet been finalized / approved, indicate its status.

- g. Price is less important than technical factors however if the technical ratings between proposals become closer price becomes more important. See proposal schedule notes for cost limitations.

APPENDIX F: Contractor Experience Form

FORM A-1

CONTRACTOR EXPERIENCE

1. Project name and location (City, State, Country)		
2. Project owners name (Government Agency, commercial firm or other organization)		
3. Project owners complete address		
4. Were you the Prime Contractor? YES NO		Were you the Sub-Contractor? YES NO
Percentage of work that was self-performed? _____%		
5. Contract number of project	6. Date of contract	
7. Date work began	8a. Completion Dates: Initial: _____ Actual: _____	9a. Project Completion Percentage (%)
8b. Contract Value at Time of Award	9b. Final invoiced amount (or amount invoiced to date)	

<p>10a. English-speaking Technical point of contact for the Project Owner (name, title, e-mail address, phone number)</p>	<p>10b. English-speaking Contracting point of contact of the Project Owner (name, title, e-mail, phone number)</p>
<p>11. Description of Construction contract work - describe DETAILED nature and scope of work. Detail how project demonstrates experience requirements in Section 00113. Also include explanation of any performance problems or other conflicts with the customer. (Offerors will be evaluated for the ability to provide timely, complete work; be certain to explain any differences between the initial and actual completion dates in block 8.) Use continuation sheet for additional information, if necessary.</p>	

12. Current status of the project (check one)

- Work continuing, on schedule
- Work continuing, behind schedule
- Work completed, no further action pending
- Work completed, routine administrative action pending
- Work completed, claims negotiation pending/underway
- Work completed, litigation pending/underway
- Terminated for convenience
- Terminated for default
- Other (Explain, use additional sheets as necessary)

FORM A-2

PERSONNEL RESUME/EXPERIENCE

Name and Title

Name of your firm

No. of years: Presently with this firm _____ With other firms _____

No. of years in field of work: _____

Education (School/Degree(s)/Year/Specialization):

Registration/Accreditation: _____ YES _____ NO*

License No. _____ Country/State _____ Year _____

Your Assignment on this project

Your specific experience and qualifications relevant to this project. Include a POC with phone number for the two most recent projects described:

Project Name and Location:

General Scope of Project:

Your Role in the Project and a Description of the Duties You Performed:

Owner's POC for reference (name and phone number):

Project Name and Location:

General Scope of Project:

Your Role in the Project and a Description of the Duties You Performed:

Owner's POC for reference (name and phone number):

-- END OF PERSONNEL RESUME/EXPERIENCE --

NAVFAC/USACE PAST PERFORMANCE QUESTIONNAIRE (Form PPQ-0)

CONTRACT INFORMATION (Contractor to complete Blocks 1-4)

1. Contractor Information

Firm Name:	CAGE Code:
Address:	DUNs Number:
Phone Number:	
Email Address:	
Point of Contact:	Contact Phone Number:

2. Work Performed as:	<input type="checkbox"/> Prime Contractor	<input type="checkbox"/> Sub Contractor	<input type="checkbox"/> Joint Venture
	<input type="checkbox"/> Other (Explain)		

Percent of project work performed:

If subcontractor, who was the prime (Name/Phone #):

3. Contract Information

Contract Number:	
Delivery/Task Order Number (if applicable):	
Contract Type:	<input type="checkbox"/> Firm Fixed Price <input type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Other (Please specify):
Contract Title:	
Contract Location:	

Award Date (mm/dd/yy):

Contract Completion Date (mm/dd/yy):

Actual Completion Date (mm/dd/yy):

Explain Differences:

Original Contract Price (Award Amount):

Final Contract Price (*to include all modifications, if applicable*):

Explain Differences:

4. Project Description:

Complexity of Work High Med RoutineHow is this project relevant to project of submission? (*Please provide details such as similar equipment, requirements, conditions, etc.*)

CLIENT INFORMATION (Client to complete Blocks 5-8)

5. Client Information

Name:

Title:

Phone Number:

Email Address:

6. Describe the client's role in the project:

7. Date Questionnaire was completed (mm/dd/yy):

8. Client's Signature:

NOTE: NAVFAC/USACE REQUESTS THAT THE CLIENT COMPLETES THIS QUESTIONNAIRE AND SUBMITS DIRECTLY BACK TO THE OFFEROR. THE OFFEROR WILL SUBMIT THE COMPLETED QUESTIONNAIRE TO USACE WITH THEIR PROPOSAL, AND MAY DUPLICATE THIS QUESTIONNAIRE FOR FUTURE SUBMISSION ON USACE SOLICITATIONS. CLIENTS ARE HIGHLY ENCOURAGED TO SUBMIT QUESTIONNAIRES DIRECTLY TO THE OFFEROR. HOWEVER, QUESTIONNAIRES MAY BE SUBMITTED DIRECTLY TO USACE. PLEASE CONTACT THE OFFEROR FOR USACE POC INFORMATION. THE GOVERNMENT RESERVES THE RIGHT TO VERIFY ANY AND ALL INFORMATION ON THIS FORM.

***ADJECTIVE RATINGS AND DEFINITIONS TO BE USED TO BEST REFLECT
YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE***

RATING	DEFINITION	NOTE
(E) Exceptional	Performance meets contractual requirements and exceeds many to the Government/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with few minor problems for which corrective actions taken by the contractor was highly effective.	An Exceptional rating is appropriate when the Contractor successfully performed multiple significant events that were of benefit to the Government/Owner. A singular benefit, however, could be of such magnitude that it alone constitutes an Exceptional rating. Also, there should have been NO significant weaknesses identified.
(VG) Very Good	Performance meets contractual requirements and exceeds some to the Government's/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with some minor problems for which corrective actions taken by the contractor were effective.	A Very Good rating is appropriate when the Contractor successfully performed a significant event that was a benefit to the Government/Owner. There should have been no significant weaknesses identified.
(S) Satisfactory	Performance meets minimum contractual requirements. The contractual performance of the element or sub-element contains some minor problems for which corrective actions taken by the contractor appear or were satisfactory.	A Satisfactory rating is appropriate when there were only minor problems, or major problems that the contractor recovered from without impact to the contract. There should have been NO significant weaknesses identified. Per DOD policy, a fundamental principle of assigning ratings is that contractors will not be assessed a rating lower than Satisfactory solely for not performing beyond the requirements of the contract.

(M) Marginal	Performance does not meet some contractual requirements. The contractual performance of the element or sub-element being assessed reflects a serious problem for which the contractor has not yet identified corrective actions. The contractor's proposed actions appear only marginally effective or were not fully implemented.	A Marginal is appropriate when a significant event occurred that the contractor had trouble overcoming which impacted the Government/Owner.
(U) Unsatisfactory	Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element or sub-element contains serious problem(s) for which the contractor's corrective actions appear or were ineffective.	An Unsatisfactory rating is appropriate when multiple significant events occurred that the contractor had trouble overcoming and which impacted the Government/Owner. A singular problem, however, could be of such serious magnitude that it alone constitutes an unsatisfactory rating.
(N) Not Applicable	No information or did not apply to your contract	Rating will be neither positive nor negative.

TO BE COMPLETED BY CLIENT

PLEASE CIRCLE THE ADJECTIVE RATING WHICH BEST REFLECTS YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE.	
1. QUALITY:	
a) Quality of technical data/report preparation efforts	E VG S M U N
b) Ability to meet quality standards specified for technical performance	E VG S M U N
c) Timeliness/effectiveness of contract problem resolution without extensive customer guidance	E VG S M U N

d) Adequacy/effectiveness of quality control program and adherence to contract quality assurance requirements (without adverse effect on performance)	E N	VG	S	M	U
2. SCHEDULE/TIMELINESS OF PERFORMANCE:					
a) Compliance with contract delivery/completion schedules including any significant intermediate milestones. <i>(If liquidated damages were assessed or the schedule was not met, please address below)</i>	E N	VG	S	M	U
b) Rate the contractor's use of available resources to accomplish tasks identified in the contract	E N	VG	S	M	U
3. CUSTOMER SATISFACTION:					
a) To what extent were the end users satisfied with the project?	E N	VG	S	M	U
b) Contractor was reasonable and cooperative in dealing with your staff (including the ability to successfully resolve disagreements/disputes; responsiveness to administrative reports, businesslike and communication)	E N	VG	S	M	U
c) To what extent was the contractor cooperative, businesslike, and concerned with the interests of the customer?	E N	VG	S	M	U
d) Overall customer satisfaction	E N	VG	S	M	U
4. MANAGEMENT/ PERSONNEL/LABOR					
a) Effectiveness of on-site management, including management of subcontractors, suppliers, materials, and/or labor force?	E	VG	S N	M	U
b) Ability to hire, apply, and retain a qualified workforce to this effort	E	VG	S N	M	U
c) Government Property Control	E	VG	S N	M	U
d) Knowledge/expertise demonstrated by contractor personnel	E	VG	S N	M	U
e) Utilization of Small Business concerns	E	VG	S N	M	U
f) Ability to simultaneously manage multiple projects with multiple disciplines	E	VG	S N	M	U
g) Ability to assimilate and incorporate changes in requirements and/or priority, including planning, execution and response to Government changes	E	VG	S N	M	U

h) Effectiveness of overall management (including ability to effectively lead, manage and control the program)	E	VG	S N	M	U
5. COST/FINANCIAL MANAGEMENT					
a) Ability to meet the terms and conditions within the contractually agreed price(s)?	E	VG	S N	M	U
b) Contractor proposed innovative alternative methods/processes that reduced cost, improved maintainability or other factors that benefited the client	E	VG	S N	M	U
c) If this is/was a Government cost type contract, please rate the Contractor's timeliness and accuracy in submitting monthly invoices with appropriate back-up documentation, monthly status reports/budget variance reports, compliance with established budgets and avoidance of significant and/or unexplained variances (under runs or overruns)	E	VG	S N	M	U
d) Is the Contractor's accounting system adequate for management and tracking of costs? <i>If no, please explain in Remarks section.</i>	Yes			No	
e) If this is/was a Government contract, has/was this contract been partially or completely terminated for default or convenience or are there any pending terminations? <i>Indicate if show cause or cure notices were issued, or any default action in comment section below.</i>	Yes			No	
f) Have there been any indications that the contractor has had any financial problems? <i>If yes, please explain below.</i>	Yes			No	
6. SAFETY/SECURITY					
a) To what extent was the contractor able to maintain an environment of safety, adhere to its approved safety plan, and respond to safety issues? (Includes: following the users rules, regulations, and requirements regarding housekeeping, safety, correction of noted deficiencies, etc.)	E	VG	S N	M	U
b) Contractor complied with all security requirements for the project and personnel security requirements.	E	VG	S N	M	U
7. GENERAL					
a) Ability to successfully respond to emergency and/or surge situations (including notifying COR, PM or Contracting Officer in a timely manner regarding urgent contractual issues).	E	VG	S N	M	U
b) Compliance with contractual terms/provisions (<i>explain if specific issues</i>)	E	VG	S N	M	U
c) Would you hire or work with this firm again? (<i>If no,</i>	Yes			No	

